

COMPLIANCE REVIEW MEMORANDUM

To: Alan S. Johnson, Executive Director
From: James A. Poag, Investigator
Re: A12-010B – Ethics Training Compliance (Town of North Palm Beach-Advisory)

- **Background**

As of June 1, 2011, all municipalities within Palm Beach County came under the jurisdiction of the Palm Beach County Code of Ethics (the Code). Pursuant to Section 2-446 of the Code, each municipal administrator is required to establish by policy a mandatory training schedule for all employees and elected/appointed officials to provide them with training on their ethical responsibilities as prescribed by the Code. The Commission on Ethics is required to develop and deliver training programs and to coordinate and cooperate with the municipalities to ensure effective and meaningful training of elected/appointed officials and employees. For verification, a training acknowledgment form for each full-time employee and elected/appointed official should be maintained on file by the Human Resources Department for each municipality.

On April 23, 2012, on behalf of the Commission on Ethics (COE), I initiated a compliance review of the Town of North Palm Beach (the Town) for ethics training. In order to complete this task I contacted Ms. Loren Slaydon, Director of Human Resources for the Town of North Palm Beach. On May 2, 2012, I met with Ms. Chris Wilcott, Deputy Clerk for the Town of North Palm Beach and conducted an on-site compliance review of the Town's ethics training acknowledgement forms for all appointed officials. Prior to the start of my review, I was provided with an alphabetical list of all appointed officials, which I used to cross reference individual Training Acknowledgement Forms. As of April 23, 2012, the Town had 61 appointed officials. At the time of the initial review 22 appointed officials were not in compliance with the training requirement established by the Palm Beach County Code of Ethics. The Town was given additional time to comply with the training requirement as prescribed by the code.

- **Scope**

The scope included a review of all Town of North Palm Beach appointed officials required to submit an Ethics Training Acknowledgement Form. All forms submitted to the Clerks Office were maintained in an electronic training file folder alphabetically by last name.

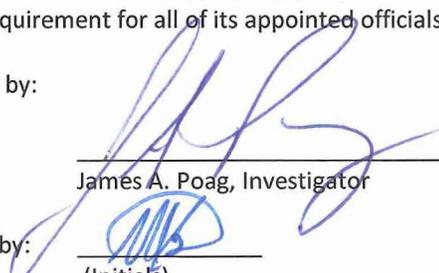
- **Assessment**

On July 11, 2012, a follow-up compliance review of the Town of North Palm Beach Ethics Training Program was completed for all appointed officials. The overall assessment indicated the Town of North Palm Beach had a total of 61 appointed officials. As of this date, seven appointed officials have not complied with the ethics training requirement. The Town of North Palm Beach is not in compliance with the training requirement established by the Palm Beach County Code of Ethics.

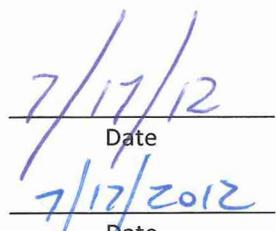
- **Conclusion**

Based on the aforementioned information, the Town of North Palm Beach is not in compliance with the ethics training requirement for all of its appointed officials.

Submitted by:

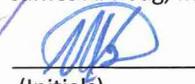


James A. Poag, Investigator

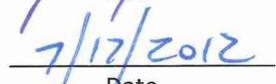


Date

Reviewed by:



(Initials)



Date