

## COMPLIANCE REVIEW MEMORANDUM

To: Alan Johnson, Executive Director  
From: James A. Poag, Investigator  
Re: A12-026B – Ethics Training Compliance (Village of Tequesta)

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- **Background**

As of June 1, 2011, all municipalities within Palm Beach County came under the jurisdiction of the Palm Beach County Code of Ethics (the Code). Pursuant to Section 2-446 of the Code, each municipal administrator is required to establish by policy a mandatory training schedule for all employees and elected/appointed officials to provide them with training on their ethical responsibilities as prescribed by the code. The Commission on Ethics is required to develop and deliver training programs and to coordinate and cooperate with the municipalities to ensure effective and meaningful training of elected/appointed officials and employees. For verification, a training acknowledgment form for each full-time employee and elected/appointed official should be maintained by each municipality.

On September 9, 2012 on behalf of the Commission on Ethics (COE), I initiated a compliance review of the Village of Tequesta (the Village) for ethics training. In order to complete this I contacted Ms. Lori McWilliams, Village Clerk, to schedule an on-site visit to review the training files. I conducted an on-site compliance review of the Village's ethics training acknowledgement forms on September 11, 2012. Prior to the start of my review, I was provided with an alpha list of all active Village elected/appointed officials, which I used to cross reference each individual training acknowledgement form. As of September 11, 2012, the Town had 36 elected/appointed officials.

- **Scope**

The scope included a review of all 36 of the Village of Tequesta elected/appointed officials required to submit an ethics training acknowledgement form. All forms submitted to the Clerk's Office were maintained in a central training file folder alphabetically by last name.

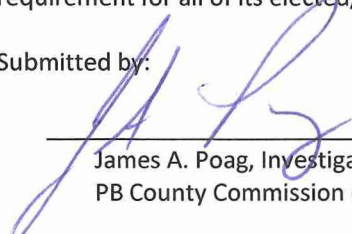
- **Assessment**

On September 11, 2012, an ethics training compliance review of the Village was completed for all elected/appointed officials of the Village. The overall assessment indicated the Village had 36 elected/appointed officials, all of which have been trained according to the reviewed records. As of this date, the Village of Tequesta is in compliance with the training requirement established by the Palm Beach County Code of Ethics.

- **Conclusion**

Based on the aforementioned facts the Village of Tequesta is in full compliance with the ethics training requirement for all of its elected/appointed officials.

Submitted by:

  
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James A. Poag, Investigator  
PB County Commission on Ethics

  
\_\_\_\_\_  
Date

Reviewed by:

  
\_\_\_\_\_  
(Initials)

  
\_\_\_\_\_  
Date